INTEGRATED SOLUTIONS

FORHUMANITY | LAW + DATA | ABBYY

Model Risk Management Policy Accelerator

Governance for AI aligned to Basel III • SR 11-7/13-19 • EU AI Act • CO AI Act • TRAIGA

Why this matters now

- AI in finance introduces new specific and unique risks, unmanaged by MRM
- Basel III embeds a pervasive risk culture across financial services — including AI use
- SR 11-7 and SR 13-19 require robust MRM, including third-party/outsourcing risk
- EU AI Act classifies some financial AI uses as high-risk applications
- The CO AI Act and TX Responsible AI Governance Act create risks for financial AI
- Regulators expect audit-ready governance for AI — beyond traditional MRM

What you get

- Audit-ready, binary certification criteria (compliant/not compliant)
- Gap analysis of MRM operations and risk controls
- Integration with existing MRM operations, frameworks, and internal audit workflows
- 16 modular governance components tailored for AI in financial services use cases
- Alignment with US FCRA, ECOA, and FTC Act §5/ UDAP requirements
- Risk controls, treatments, and mitigations designed to satisfy regulatory oversight

Outcomes you can expect

- Operationalized governance, oversight, and accountability for AI models
- Reduced model risk and adverse outcomes
- Stronger control environment
- Examiner-friendly transparency and evidence for audits and validations
- More sustainable profitability through better risk-adjusted decisions

Advisory services

- ForHumanity Policy Accelerator plan to operationalize compliance
- Proof-of-Concept plan to validate value and reveal compliance gaps
- 1-day executive training session covering:
  - SR 11-7, SR 13-19, Basel III in the context of AI and EU/US AI regulatory landscape
  - Introductory Gap Assessment exploration
  - Introductory Process Intelligence exploration

Content derived from ForHumanity Model Risk Management certification scheme and policy accelerator materials.

Book your engagement — and begin your certification roadmap for AI compliance today

we solve problems with data

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